

# Monitoring & Compliance: Stewards/Remitters

## Quarterly Dashboard Report

### Types of steward monitoring and compliance activity:

1. Identify potential stewards
2. Ensure OES revenue recovery
3. Verify units reported / fees remitted

- Completed
- Compliance activity in progress
- Sanctions in place
- Risk identified

Q1 - 2013	Stewards/ Remitters	Status
<b>Monitoring &amp; Compliance Actions</b>		
Notification mailers	148	
Current quarter status report of notification mailers: declaration – obligated	6	
Current quarter status report of notification mailers: declaration – not obligated	3	
Outstanding notification mailers	160	
Current quarter late filer audits	317	
Outstanding late filer audits	45	
Internal report reviews – completed	24	
Internal report reviews – in progress	35	
Third party review – completed	3	
Third party review – in progress	23	