

# Monitoring & Compliance: Stewards/Remitters

## Quarterly Dashboard Report

### Types of steward monitoring and compliance activity:

1. Identify potential stewards
2. Ensure OES revenue recovery
3. Verify units reported / fees remitted

- Completed
- Compliance activity in progress
- Sanctions in place
- Risk identified

Q2 - 2013	Stewards/ Remitters	Status
<b>Monitoring &amp; Compliance Actions</b>		
Notification mailers	0	
Current quarter status report of notification mailers: declaration – obligated	7	
Current quarter status report of notification mailers: declaration – not obligated	42	
Outstanding notification mailers	127	
Current quarter late filer audits	25	
Outstanding late filer audits	45	
Internal report reviews – completed	23	
Internal report reviews – in progress	53	
Third party review – completed	9	
Third party review – in progress	20	