

Monitoring & Compliance: Stewards/Remitters

Quarterly Dashboard Report

Types of steward monitoring and compliance activity:

1. Identify potential stewards
2. Ensure OES revenue recovery
3. Verify units reported / fees remitted

- Completed
- Compliance activity in progress
- Sanctions in place
- Risk identified

Q4 - 2013	Stewards/ Remitters	Status
Monitoring & Compliance Actions		
Notification mailers	207	
Current quarter status report of notification mailers: declaration – obligated	2	
Current quarter status report of notification mailers: declaration – not obligated	13	
Outstanding notification mailers	239	
Current quarter late filer audits	21	
Outstanding late filer audits	8	
Internal report reviews – completed	49	
Internal report reviews – in progress	19	
Third party review – completed	4	
Third party review – in progress	0	